

TEXAS HIGHER EDUCATION COORDINATING BOARD  
Fiscal Year 2009 Report on Optional Retirement Program Participation

Pg 4 Cert

Part A - CONTRIBUTIONS

DUE by NOVEMBER 2

Contribution Data Certification

1. Institution Name: University of Texas Southwestern Medical Center at Dallas

2. PREPARED BY:


Name: Regena Williams Address: 5323 Harry Hines Blvd  
Title: Accounting Supervisor, Payroll Dallas, TX 75390-9018  
Phone: 214-648-0133  
FAX: 214-648-0131 E-mail: regena.williams@utsouthwestern.edu

3. APPROVED BY (Certifying Official):

Name: George Kokoruda Address: 5323 Harry Hines Blvd  
Title: Assistant Vice President Dallas, TX 75390  
Phone: 214-648-0100  
FAX: 214-648-0104 E-mail: george.kokoruda@utsouthwestern.edu

4.  a. Enter "X" here if your list of authorized ORP vendors during FY09 has been e-mailed to: TexORP@thecb.state.tx.us  
OR  
 b. Enter "X" here if your list of authorized ORP vendors is not available electronically and is attached to this form for hard-copy mailing or fax.

5. CERTIFICATION: I certify that the information given in Part A of this report, including data submitted electronically on the provided forms, is correct and true to the best of my knowledge and is in accordance with applicable statutes and rules.

Signature of Certifying Official: 

Date: 10/30/09

TEXAS HIGHER EDUCATION COORDINATING BOARD  
**Fiscal Year 2009 Report on Optional Retirement Program Participation**

8.5% Pg 1

**Part A - CONTRIBUTIONS**

DUE by NOVEMBER 2

1. Institution Name: University of Texas Southwestern Medical Center at Dallas

This report covers the period  
9/01/08 through 08/31/09.

2. Employer Contribution Rate Category for this set of pages 1 and 2: **8.5%**

3. Enter Salary and Contribution Data:

>>> **ROUND** to nearest dollar -- **NO CENTS** <<<

Salary Source (Definitions provided in Appendix A.)	No. of ORP Participants (See Appendix B.)	Salary of these participants in FY09	Employer contribution for these participants in FY09
General Revenue Funds	160.62	\$22,347,304	\$1,899,528
Other Educational and General Funds			
Non-Educational and General Funds	188.63	\$46,453,230	\$3,948,519
Federal Funds and Private Grants	117.62	\$16,705,734	\$1,419,989
Other (specify):			
Other (specify):			
Other (specify):			
<b>TOTAL, 8.5%</b>	<b>466.87</b>	<b>\$85,506,269</b>	<b>\$7,268,036</b>

COMMENTS:

TEXAS HIGHER EDUCATION COORDINATING BOARD  
 Fiscal Year 2009 Report on Optional Retirement Program Participation

8.5% Pg 2

Part A - CONTRIBUTIONS

DUE by NOVEMBER 2

1. Institution Name: University of Texas Southwestern Medical Center at Dallas

If a company is not listed,  
 please call Toni Alexander at  
 (512) 427-6195 for the code.

2. Employer Contribution Rate Category for this set of pages 1 and 2: **8.5%**

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

>>> ROUND to the nearest dollar -- NO CENTS <<<

Company Name	Co. Code	No. of ORP Participants *	Employee Contribution	Employer Contribution	Total Remittance
Company Group A: MOST COMMONLY USED companies receiving ORP contributions in previous fiscal year					
American Funds	168				
Ameriprise Financial	042				
Chase Insurance Life and Annuity	256				
Fidelity Investments	115	161.13	\$2,128,384	\$2,720,492	\$4,848,876
Fiserv (Resources Trust / Lincoln Trust)	241				
ING / Citistreet (Northern Life / ReliaStar)	001	115.92	\$1,196,365	\$1,529,188	\$2,725,553
ISC Group, Inc.	259				
Kemper Investors Life	045				
Lincoln Financial Group (Jeffrsn-Pilot / Delaware)	049	79.79	\$1,110,503	\$1,419,441	\$2,529,944
Merrill Lynch / Bank of America	176				
MetLife / Travelers (Gen Am / New Engl Fin)	052	17.98	\$146,984	\$187,874	\$334,858
Nationwide	058				
Pentegra (RSGroup / QUADS / Tx Ret Trust)	171				
Pioneer Investments	140				
Security Benefit	073				
TIAA-CREF	081	75.45	\$920,022	\$1,175,968	\$2,095,990
USAA Life / USAA Investment Mgmt	087				
VALIC	088	16.60	\$183,910	\$235,073	\$418,983
Van Kampen Investments	139				

TEXAS HIGHER EDUCATION COORDINATING BOARD  
 Fiscal Year 2009 Report on Optional Retirement Program Participation

8.5% Pg 2

Part A - CONTRIBUTIONS

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1. Institution Name:

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 (512) 427-6195 for the code.

2. Employer Contribution Rate Category for this set of pages 1 and 2:

**8.5%**

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

>>> ROUND to the nearest dollar – NO CENTS <<<

Company Name	Co. Code	No. of ORP Participants *	Employee Contribution	Employer Contribution	Total Remittance
Company Group B: ADDITIONAL companies receiving ORP contributions in previous fiscal year					
Allianz Life of North America / PIMCO	026				
American Century	179				
American General (Western National)	008				
American National	181				
Americo / Great Southern	033				
Annuity Investors Life	243				
Aviva / AmerUs (Delta Life)	159				
AXA Equitable	024				
Capital Guardian / Pacific Life	118				
Commonwealth Annuity & Life	265				
Conseco (Jefferson Nat'l / inviva)	032				
CUNA (Century Life Assurance of America)	120				
Davis Funds	246				
Diversified Investment Advisors	223				
DWS Investments / Scudder	125				
Edward Jones	257				
Evergreen Investments	122				
First Investors Fund	147				
Fortis Investments	131				

TEXAS HIGHER EDUCATION COORDINATING BOARD  
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8.5% Pg 2

Part A - CONTRIBUTIONS

DUE by NOVEMBER 2

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If a company is not listed,  
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 (512) 427-6195 for the code.

2. Employer Contribution Rate Category for this set of pages 1 and 2: **8.5%**

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:  
 >>> ROUND to the nearest dollar – NO CENTS <<<

Company Name	Co. Code	No. of ORP Participants *	Employee Contribution	Employer Contribution	Total Remittance
Franklin Templeton	197				
FTJ FundChoice	255				
Great American Life (GALIC)	095				
Great-West / EducatorsMoney	035				
Guardian Insurance and Annuity	137				
GWN Securities	264				
Hartford, The	038				
Invesco Aim (AIM Investments)	221				
Investors Life of North America	097				
Jackson National	117				
Legend Group, The	250				
Life Ins. Co. of the Southwest	146				
LPL Financial (Linsco Private Ledger)	247				
ManuLife Financial / John Hancock	154				
Massachusetts Mutual / Connecticut Mutual	051				
Merrill Lynch Life Ins / Family Life Ins	106				
MFS Investment Management	239				
MG Trust Co.	262				
Mid-Atlantic Capital Corp. / Trust Co.	258				
Midland Nat'l / Investors Life of Nebraska	163				

TEXAS HIGHER EDUCATION COORDINATING BOARD  
 Fiscal Year 2009 Report on Optional Retirement Program Participation

8.5% Pg 2

Part A - CONTRIBUTIONS

DUE by NOVEMBER 2

1. Institution Name:

University of Texas Southwestern Medical Center at Dallas

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 please call Toni Alexander at  
 (512) 427-6195 for the code.

2. Employer Contribution Rate Category for this set of pages 1 and 2:

**8.5%**

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

>>> ROUND to the nearest dollar -- NO CENTS <<<

Company Name	Co. Code	No. of ORP Participants *	Employee Contribution	Employer Contribution	Total Remittance
MONY -- Mutual of New York (AXA)	056				
Morgan Stanley Smith Barney	252				
National Western	134				
Nationwide Financial	245				
New York Life	060				
PFS Investments / Primerica	210				
PlanMember Securities	263				
Prudential Annuities / American Skandia	070				
Prudential Financial	119				
Southwestern Life / Valley Forge Life	078				
State Farm Life	079				
Sterling Trust	242				
Sun Life Assurance of Canada -- U.S.	103				
Sunamerica (Anchor Natl / Integrated Resources)	238				
Symetra Life / Safeco Life	261				
T. Rowe Price	123				
TD AMERITRADE	260				
Thrivent Financial for Lutherans	158				
Transamerica Financial (AEGON)	082				
Trust Co. of America	190				

TEXAS HIGHER EDUCATION COORDINATING BOARD  
 Fiscal Year 2009 Report on Optional Retirement Program Participation

8.5% Pg 2

Part A - CONTRIBUTIONS

DUE by NOVEMBER 2

1. Institution Name: University of Texas Southwestern Medical Center at Dallas

If a company is not listed,  
 please call Toni Alexander at  
 (512) 427-6195 for the code.

2. Employer Contribution Rate Category for this set of pages 1 and 2: **8.5%**

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

>>> ROUND to the nearest dollar -- NO CENTS <<<

Company Name	Co. Code	No. of ORP Participants *	Employee Contribution	Employer Contribution	Total Remittance
UBS	226				
Union Central	084				
United Investors Life	213				
UNUM / UNUMProvident	198				
Vanguard Group	180				
Wachovia Securities (Wells Fargo)	119				
Waddell & Reed	135				
Western Reserve Life Assurance – Ohio	207				
<b>TOTAL, 8.5%</b>	X	<b>466.87</b>	<b>\$5,686,168</b>	<b>\$7,268,036</b>	<b>\$12,954,204</b>

**8.5% CHECKLIST**

8.5% Check

**This page provides a check of the data entered on page 1 and page 2 of the 8.5% report to ensure that amounts match (and have no rounding errors).**

1. The TOTAL number of participants on "8.5% Pg 1" should exactly match the TOTAL number of participants on "8.5% Pg 2."

page 1 amount	466.87	AutoCheck:	
page 2 amount	<u>466.87</u>		Matched -- Please proceed.
difference	0.00 (must be zero)		

2. The TOTAL employer contributions on "8.5% Pg 1" should exactly match the TOTAL employer contributions on "8.5% Pg 2."

page 1 amount	7,268,036.00	AutoCheck:	
page 2 amount	<u>7,268,036.00</u>		Matched -- Please proceed.
difference	0.00 (must be zero)		

3. The TOTAL salary on "8.5% Pg 1" multiplied by the employee contribution rate (6.65%) should match or come relatively close to the TOTAL employee contributions on "8.5% Pg 2" based on payroll size.

page 1 salary	85,506,268.59	x 6.65% =	5,686,166.86	
page 2 ee contr			<u>5,686,168.00</u>	
difference			1.14	Should be relatively close to zero. If not, click here for Optional Check.

4. The TOTAL salary shown on "8.5% Pg 1" multiplied by the 8.5% employer contribution rate should match or come relatively close to the TOTAL employer contributions shown on "8.5% Pg 2" based on payroll size.

page 1 salary	85,506,268.59	x 8.5% =	7,268,032.83	
page 2 er contr			<u>7,268,036.00</u>	
difference			3.17	Should be relatively close to zero. If not, click here for Optional Check.



TEXAS HIGHER EDUCATION COORDINATING BOARD  
**Fiscal Year 2009 Report on Optional Retirement Program Participation**

**Part A - CONTRIBUTIONS**

DUE by NOVEMBER 2

1. Institution Name: University of Texas Southwestern Medical Center at Dallas

This report covers the period  
9/01/08 through 08/31/09.

2. Enter Employer Contribution Rate Category for this set of pages 1 and 2: 8.00%

3. Enter Salary and Contribution Data: >>> **ROUND** to nearest dollar -- **NO CENTS** <<<

Salary Source (Definitions provided in Appendix A.)	No. of ORP Participants (See Appendix B.)	Salary of these participants in FY09	Employer contribution for these participants in FY09
General Revenue Funds	383.23	\$34,797,558	\$2,783,802
Other Educational and General Funds			
Non-Educational and General Funds	657.40	\$109,448,322	\$8,755,590
Federal Funds and Private Grants	655.50	\$35,603,065	\$2,848,244
Other (specify):			
Other (specify):			
Other (specify):			
<b>TOTAL, Page 1a</b>	<b>1,696.13</b>	<b>\$179,848,945</b>	<b>\$14,387,636</b>

COMMENTS: Differences for 1a-2a check #'s 3 & 4 are due to: Corrections due matching amount change with sheltering adjustments and prior period adjustments

TEXAS HIGHER EDUCATION COORDINATING BOARD  
Fiscal Year 2009 Report on Optional Retirement Program Participation

Part A - CONTRIBUTIONS

DUE by NOVEMBER 2

1. Institution Name: University of Texas Southwestern Medical Center at Dallas

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2. Enter Employer Contribution Rate Category for this set of pages 1 and 2 here: 8.00%

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

>>> ROUND to the nearest dollar – NO CENTS <<<

Company Name	Co. Code	No. of ORP Participants *	Employee Contribution	Employer Contribution	Total Remittance
Company Group A: MOST COMMONLY USED companies receiving ORP contributions in previous fiscal year					
American Funds	168				
Ameriprise Financial	042				
Chase Insurance Life and Annuity	256				
Fidelity Investments	115	723.84	\$5,761,157	\$6,911,820	\$12,672,977
Fiserv (Resources Trust / Lincoln Trust)	241				
ING / Citistreet (Northern Life / ReliaStar)	001	258.67	\$1,585,704	\$1,910,769	\$3,496,473
ISC Group, Inc.	259				
Kemper Investors Life	045				
Lincoln Financial Group (Jeffrsn-Pilot / Delaware)	049	199.24	\$1,696,462	\$2,040,813	\$3,737,275
Merrill Lynch / Bank of America	176				
MetLife / Travelers (Gen Am / New Engl Fin)	052	92.10	\$430,842	\$518,204	\$949,046
Nationwide	058				
Pentegra (RSGroup / QUADS / Tx Ret Trust)	171				
Pioneer Investments	140				
Security Benefit	073				
TIAA-CREF	081	305.72	\$1,997,031	\$2,403,498	\$4,400,529

TEXAS HIGHER EDUCATION COORDINATING BOARD  
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Part A - CONTRIBUTIONS

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Company Name	Co. Code	No. of ORP Participants *	Employee Contribution	Employer Contribution	Total Remittance
USAA Life / USAA Investment Mgmt	087				
VALIC	088	116.56	\$488,768	\$602,532	\$1,091,300
Van Kampen Investments	139				
Company Group B: ADDITIONAL companies receiving ORP contributions in previous fiscal year					
Allianz Life of North America / PIMCO	026				
American Century	179				
American General (Western National)	008				
American National	181				
Americo / Great Southern	033				
Annuity Investors Life	243				
Aviva / AmerUs (Delta Life)	159				
AXA Equitable	024				
Capital Guardian / Pacific Life	118				
Commonwealth Annuity & Life	265				
Conseco (Jefferson Nat'l / inviva)	032				
CUNA (Century Life Assurance of America)	120				
Davis Funds	246				

TEXAS HIGHER EDUCATION COORDINATING BOARD  
Fiscal Year 2009 Report on Optional Retirement Program Participation

Part A - CONTRIBUTIONS

DUE by NOVEMBER 2

1. Institution Name: University of Texas Southwestern Medical Center at Dallas

If a company is not listed,  
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2. Enter Employer Contribution Rate Category for this set of pages 1 and 2 here: 8.00%

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

>>> ROUND to the nearest dollar – NO CENTS <<<

Company Name	Co. Code	No. of ORP Participants *	Employee Contribution	Employer Contribution	Total Remittance
Diversified Investment Advisors	223				
DWS Investments / Scudder	125				
Edward Jones	257				
Evergreen Investments	122				
First Investors Fund	147				
Fortis Investments	131				
Franklin Templeton	197				
FTJ FundChoice	255				
Great American Life (GALIC)	095				
Great-West / EducatorsMoney	035				
Guardian Insurance and Annuity	137				
GWN Securities	264				
Hartford, The	038				
Invesco Aim (AIM Investments)	221				
Investors Life of North America	097				
Jackson National	117				
Legend Group, The	250				

TEXAS HIGHER EDUCATION COORDINATING BOARD  
Fiscal Year 2009 Report on Optional Retirement Program Participation

Part A - CONTRIBUTIONS

DUE by NOVEMBER 2

1. Institution Name: University of Texas Southwestern Medical Center at Dallas

If a company is not listed,  
please call Toni Alexander at  
(512) 427-6195 for the code.

2. Enter Employer Contribution Rate Category for this set of pages 1 and 2 here: 8.00%

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

>>> ROUND to the nearest dollar -- NO CENTS <<<

Company Name	Co. Code	No. of ORP Participants *	Employee Contribution	Employer Contribution	Total Remittance
Life Ins. Co. of the Southwest	146				
LPL Financial (Linsco Private Ledger)	247				
ManuLife Financial / John Hancock	154				
Massachusetts Mutual / Connecticut Mutual	051				
Merrill Lynch Life Ins / Family Life Ins	106				
MFS Investment Management	239				
MG Trust Co.	262				
Mid-Atlantic Capital Corp. / Trust Co.	258				
Midland Nat'l / Investors Life of Nebraska	163				
MONY -- Mutual of New York (AXA)	056				
Morgan Stanley Smith Barney	252				
National Western	134				
Nationwide Financial	245				
New York Life	060				
PFS Investments / Primerica	210				
PlanMember Securities	263				
Prudential Annuities / American Skandia	070				

TEXAS HIGHER EDUCATION COORDINATING BOARD  
Fiscal Year 2009 Report on Optional Retirement Program Participation

Part A - CONTRIBUTIONS

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3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

>>> ROUND to the nearest dollar – NO CENTS <<<

Company Name	Co. Code	No. of ORP Participants *	Employee Contribution	Employer Contribution	Total Remittance
Prudential Financial	119				
Southwestern Life / Valley Forge Life	078				
State Farm Life	079				
Sterling Trust	242				
Sun Life Assurance of Canada – U.S.	103				
Sunamerica (Anchor Natl / Integrated Resources)	238				
Symetra Life / Safeco Life	261				
T. Rowe Price	123				
TD AMERITRADE	260				
Thrivent Financial for Lutherans	158				
Transamerica Financial (AEGON)	082				
Trust Co. of America	190				
UBS	226				
Union Central	084				
United Investors Life	213				
UNUM / UNUMProvident	198				
Vanguard Group	180				

TEXAS HIGHER EDUCATION COORDINATING BOARD  
Fiscal Year 2009 Report on Optional Retirement Program Participation

Pg 2a

Part A - CONTRIBUTIONS

DUE by NOVEMBER 2


1. Institution Name: University of Texas Southwestern Medical Center at Dallas

If a company is not listed,  
please call Toni Alexander at  
(512) 427-6195 for the code.

2. Enter Employer Contribution Rate Category for this set of pages 1 and 2 here: 8.00%

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

>>> ROUND to the nearest dollar – NO CENTS <<<

Company Name	Co. Code	No. of ORP Participants *	Employee Contribution	Employer Contribution	Total Remittance
Wachovia Securities (Wells Fargo)	119				
Waddell & Reed	135				
Western Reserve Life Assurance -- Ohio	207				
<b>TOTAL, Page 2a</b>		1,696.13	\$11,959,964	\$14,387,636	\$26,347,600

Page 1a-2a CHECKLIST

1a-2a Check

This page provides a check of the data entered on page 1a and page 2a of the report to ensure that amounts match (and have no rounding errors).

1. The TOTAL number of participants on "Pg 1a" should exactly match the TOTAL number of participants on "Pg 2a."

page 1 amount	1,696.13	
page 2 amount	<u>1,696.13</u>	
difference	0.00	(must be zero)

AutoCheck: Matched -- Please proceed.

2. The TOTAL employer contributions on "Pg 1a" should exactly match the TOTAL employer contributions on "Pg 2a."

page 1 amount	14,387,636.00	
page 2 amount	<u>14,387,636.00</u>	
difference	0.00	(must be zero)

AutoCheck: Matched! Please proceed.

3. The TOTAL salary on "Pg 1a" multiplied by the employee contribution rate (6.65%) should match or come relatively close to the TOTAL employee contributions on "Pg 2a" based on payroll size.

page 1 salary	179,848,944.52	x 6.65% =	11,959,954.81
page 2 ee contr			<u>11,959,964.00</u>
difference			9.19

Should be relatively close to zero.  
If not, click here for Optional Check.

4. The TOTAL salary shown on "Pg 1a" multiplied by the employer contribution rate should match or come relatively close to the TOTAL employer contributions shown on "Pg 2a" based on payroll size.

page 1 salary	179,848,944.52	8.00%	14,387,915.56
page 2 er contr			<u>14,387,636.00</u>
difference			279.56

Should be relatively close to zero.  
If not, click here for Optional Check.



TEXAS HIGHER EDUCATION COORDINATING BOARD  
Fiscal Year 2009 Report on Optional Retirement Program Participation

All Rates Pg 1

**Part A - CONTRIBUTIONS**

DUE by NOVEMBER 2

1. Institution Name: University of Texas Southwestern Medical Center at Dallas

This report covers the period  
9/01/08 through 08/31/09.

2. Employer Contribution Rate Category for this set of pages 1 and 2: **ALL RATES**

3. Enter Salary and Contribution Data: >>> ROUND to nearest dollar -- NO CENTS <<<

Salary Source (Definitions provided in Appendix A.)	No. of ORP Participants (See Appendix B.)	Salary of these participants in FY09	Employer contribution for these participants in FY09
General Revenue Funds	543.85	\$57,144,862	\$4,683,330
Other Educational and General Funds			
Non-Educational and General Funds	846.03	\$155,901,552	\$12,704,109
Federal Funds and Private Grants	773.12	\$52,308,799	\$4,268,233
Other (specify):			
Other (specify):			
Other (specify):			
<b>TOTAL, All Rates</b>	<b>2,163.00</b>	<b>\$265,355,213</b>	<b>\$21,655,672</b>

Please fill in "Other" fund name if used on previous pages, being careful to use the same row.  
The other amounts on this sheet will be filled in automatically when the individual contribution amounts pages are completed.

COMMENTS:

TEXAS HIGHER EDUCATION COORDINATING BOARD  
Fiscal Year 2009 Report on Optional Retirement Program Participation

All Rates Pg 2

**Part A - CONTRIBUTIONS**

DUE by NOVEMBER 2

1. Institution Name:

University of Texas Southwestern Medical Center at Dallas

2. Employer Contribution Rate Category for this set of pages 1 and 2:

**ALL RATES**

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

Company Name	Co. Code	No. of ORP Participants	Employee Contribution	Employer Contribution	Total Remittance
Company Group A: MOST COMMONLY USED companies receiving ORP contributions in previous fiscal year					
American Funds	168				
Ameriprise Financial	042				
Chase Insurance Life and Annuity	256				
Fidelity Investments	115	884.97	7,889,541.00	9,632,312.00	\$17,521,853
Fiserv (Resources Trust / Lincoln Trust)	241				
ING / Citistreet (Northern Life / ReliaStar)	001	374.59	2,782,069.00	3,439,957.00	\$6,222,026
ISC Group, Inc.	259				
Kemper Investors Life	045				
Lincoln Financial Group (Jeffrsn-Pilot / Delaware)	049	279.03	2,806,965.00	3,460,254.00	\$6,267,219
Merrill Lynch / Bank of America	176				
MetLife / Travelers (Gen Am / New Engl Fin)	052	110.08	577,826.00	706,078.00	\$1,283,904
Nationwide	058				
Pentegra (RSGroup / QUADS / Tx Ret Trust)	171				

TEXAS HIGHER EDUCATION COORDINATING BOARD  
Fiscal Year 2009 Report on Optional Retirement Program Participation

All Rates Pg 2

**Part A - CONTRIBUTIONS**

DUE by NOVEMBER 2

1. Institution Name:

University of Texas Southwestern Medical Center at Dallas
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2. Employer Contribution Rate Category for this set of pages 1 and 2:

**ALL RATES**

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

Company Name	Co. Code	No. of ORP Participants	Employee Contribution	Employer Contribution	Total Remittance
Pioneer Investments	140				
Security Benefit	073				
TIAA-CREF	081	381.17	2,917,053.00	3,579,466.00	\$6,496,519
USAA Life / USAA Investment Mgmt	087				
VALIC	088	133.16	672,678.00	837,605.00	\$1,510,283
Van Kampen Investments	139				
Company Group B: ADDITIONAL companies receiving ORP contributions in previous fiscal year					
Allianz Life of North America / PIMCO	026				
American Century	179				
American General (Western National)	008				
American National	181				
Americo / Great Southern	033				
Annuity Investors Life	243				
Aviva / AmerUs (Delta Life)	159				

TEXAS HIGHER EDUCATION COORDINATING BOARD  
Fiscal Year 2009 Report on Optional Retirement Program Participation

All Rates Pg 2

**Part A - CONTRIBUTIONS**

DUE by NOVEMBER 2

1. Institution Name:

University of Texas Southwestern Medical Center at Dallas
---

2. Employer Contribution Rate Category for this set of pages 1 and 2:

**ALL RATES**

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

Company Name	Co. Code	No. of ORP Participants	Employee Contribution	Employer Contribution	Total Remittance
AXA Equitable	024				
Capital Guardian / Pacific Life	118				
Commonwealth Annuity & Life	265				
Conseco (Jefferson Nat'l / inviva)	032				
CUNA (Century Life Assurance of America)	120				
Davis Funds	246				
Diversified Investment Advisors	223				
DWS Investments / Scudder	125				
Edward Jones	257				
Evergreen Investments	122				
First Investors Fund	147				
Fortis Investments	131				
Franklin Templeton	197				
FTJ FundChoice	255				

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All Rates Pg 2

**Part A - CONTRIBUTIONS**

DUE by NOVEMBER 2

1. Institution Name:

University of Texas Southwestern Medical Center at Dallas
---

2. Employer Contribution Rate Category for this set of pages 1 and 2:

**ALL RATES**

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

Company Name	Co. Code	No. of ORP Participants	Employee Contribution	Employer Contribution	Total Remittance
Great American Life (GALIC)	095				
Great-West / EducatorsMoney	035				
Guardian Insurance and Annuity	137				
GWN Securities	264				
Hartford, The	038				
Invesco Aim (AIM Investments)	221				
Investors Life of North America	097				
Jackson National	117				
Legend Group, The	250				
Life Ins. Co. of the Southwest	146				
LPL Financial (Linsco Private Ledger)	247				
ManuLife Financial / John Hancock	154				
Massachusetts Mutual / Connecticut Mutual	051				
Merrill Lynch Life Ins / Family Life Ins	106				

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All Rates Pg 2

**Part A - CONTRIBUTIONS**

DUE by NOVEMBER 2

1. Institution Name: University of Texas Southwestern Medical Center at Dallas

2. Employer Contribution Rate Category for this set of pages 1 and 2: **ALL RATES**

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

Company Name	Co. Code	No. of ORP Participants	Employee Contribution	Employer Contribution	Total Remittance
MFS Investment Management	239				
MG Trust Co.	262				
Mid-Atlantic Capital Corp. / Trust Co.	258				
Midland Nat'l / Investors Life of Nebraska	163				
MONY -- Mutual of New York (AXA)	056				
Morgan Stanley Smith Barney	252				
National Western	134				
Nationwide Financial	245				
New York Life	060				
PFS Investments / Primerica	210				
PlanMember Securities	263				
Prudential Annuities / American Skandia	070				
Prudential Financial	119				
Southwestern Life / Valley Forge Life	078				

TEXAS HIGHER EDUCATION COORDINATING BOARD  
**Fiscal Year 2009 Report on Optional Retirement Program Participation**

All Rates Pg 2

**Part A - CONTRIBUTIONS**

DUE by NOVEMBER 2

1. Institution Name:

University of Texas Southwestern Medical Center at Dallas
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2. Employer Contribution Rate Category for this set of pages 1 and 2:

**ALL RATES**

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

Company Name	Co. Code	No. of ORP Participants	Employee Contribution	Employer Contribution	Total Remittance
State Farm Life	079				
Sterling Trust	242				
Sun Life Assurance of Canada – U.S.	103				
Sunamerica (Anchor Natl / Integrated Resources)	238				
Symetra Life / Safeco Life	261				
T. Rowe Price	123				
TD AMERITRADE	260				
Thrivent Financial for Lutherans	158				
Transamerica Financial (AEGON)	082				
Trust Co. of America	190				
UBS	226				
Union Central	084				
United Investors Life	213				
UNUM / UNUMProvident	198				

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All Rates Pg 2

**Part A - CONTRIBUTIONS**

DUE by NOVEMBER 2

1. Institution Name: University of Texas Southwestern Medical Center at Dallas

2. Employer Contribution Rate Category for this set of pages 1 and 2: **ALL RATES**

3. Total dollar remittance in Fiscal Year 2009 to each company receiving Optional Retirement Program contributions:

Company Name	Co. Code	No. of ORP Participants	Employee Contribution	Employer Contribution	Total Remittance
Vanguard Group	180				
Wachovia Securities (Wells Fargo)	119				
Waddell & Reed	135				
Western Reserve Life Assurance -- Ohio	207				
<b>TOTAL, All Rates</b>	<del> </del>	<b>2,163.00</b>	<b>\$17,646,132</b>	<b>\$21,655,672</b>	<b>\$39,301,804</b>



**All Rates CHECKLIST**

All Rates Check

**This page provides a check of the data entered on all pages 1 and 2 of the report to ensure that amounts match (and have no rounding errors).**

1. The TOTAL number of participants on "All Rates Pg1" should exactly match the TOTAL number of participants on "All Rates Pg2."

page 1 amount	2,163.00
page 2 amount	<u>2,163.00</u>
difference	0.00 (must be zero)

AutoCheck:  
Matched -- Please proceed.

2. The TOTAL employer contributions on "All Rates Pg 1" should exactly match the TOTAL employer contributions on "All Rates Pg 2."

page 1 amount	21,655,672.00
page 2 amount	<u>21,655,672.00</u>
difference	0.00 (must be zero)

AutoCheck:  
Matched -- Please proceed.

TEXAS HIGHER EDUCATION COORDINATING BOARD  
Fiscal Year 2009 Report on Optional Retirement Program Participation

Part A - CONTRIBUTIONS

DUE by NOVEMBER 2

Electronic Funds Transfer (EFT) Certification

1. Institution Name:

2. Section 830.202 of the ORP statute (Texas Government Code, Chapter 830) requires the following:

- a. An institution must send ORP contributions to ORP companies by electronic funds transfer (EFT) if the institution is currently able to send funds by EFT.
- b. If an ORP company is unable to receive funds by EFT, the institution must certify such to the Coordinating Board.
- c. At least once each fiscal year, institutions must give notice to each ORP participant indicating which ORP companies are unable to receive funds by EFT.

3. Were all ORP companies that received ORP contributions from this institution in FY09 able to receive ORP contributions by EFT from this institution in FY09? **(Please enter "X" in the appropriate box.)**

YES

NO If "NO" please indicate below which companies were unable to receive ORP contributions by EFT in FY09.

N/A If "N/A" please explain below in the COMMENTS section.

COMMENTS:

The following companies were unable to receive ORP contributions by EFT from this institution in FY09:

- 1. \_\_\_\_\_
- 2. \_\_\_\_\_
- 3. \_\_\_\_\_
- 4. \_\_\_\_\_

TEXAS HIGHER EDUCATION COORDINATING BOARD  
Fiscal Year 2009 Report on Optional Retirement Program Participation

Part A - CONTRIBUTIONS

DUE by NOVEMBER 2

Electronic Funds Transfer (EFT) Certification

1. Institution Name: 

University of Texas Southwestern Medical Center at Dallas
---

- 5. \_\_\_\_\_
- 6. \_\_\_\_\_
- 7. \_\_\_\_\_
- 8. \_\_\_\_\_
- 9. \_\_\_\_\_
- 10. \_\_\_\_\_
- 11. \_\_\_\_\_
- 12. \_\_\_\_\_
- 13. \_\_\_\_\_
- 14. \_\_\_\_\_
- 15. \_\_\_\_\_
- 16. \_\_\_\_\_
- 17. \_\_\_\_\_
- 18. \_\_\_\_\_
- 19. \_\_\_\_\_
- 20. \_\_\_\_\_